

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
Kui Wong YEUNG	)	Group Art Unit: Unassigned
Application No.: Unassigned	)	Examiner: Unassigned
Filed: November 17, 2003	)	Confirmation No.: Unassigned
For: AIR-VENTILATOR WITH HIGH	)	
EFFICIENCY THERMAL EXCHANGER	)	
AND AIR FILTER	)	

**FIRST INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98, and a copy of the International Search Report issued for the corresponding PCT application of the parent application .

All of the listed documents were made of record by Applicant or by the Examiner in prior Application Serial No. 09/960,387,387, filed September 24, 2001, upon which Applicant relies for the benefits provided in 35 U.S.C. § 120.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date November 17, 2003

By: 

James A. LaBarre  
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# **FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **1** of **2**

**Complete if Known**

<b>Application Number</b>	Unassigned
<b>Filing Date</b>	November 17, 2003
<b>First Named Inventor</b>	Kui Wong YEUNG
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	007198-550

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	4,377,400		Okamoto et al	03-22-1983
	4,429,735		Nomaguchi et al	02-07-1984
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	4,874,042		Becker	10-17-1989
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	6,156,089		Stemmer et al	12-05-2000
	4,513,809		Schneider et al	04-30-1985

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	
					Yes	No
	0855560		EP	07-29-1998		
	99/60307		WO	11-25-1999		
	0942237		EP	09-15-1999		
	8-54131		JP	02-1996		
	2001-116314		JP	04-27-2001		
	2001-157807		JP	06-12-2001		
	11-169634		JP	06-29-1999		
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	5-141699		JP	06-08-1993		
	2222875		GB	03-21-1990		
	8-224431		JP	09-03-1996		

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	ASHRAE Handbook, 1983 Equipment Volume, pp. 3.1 – 3.11 (1983), ASHRAE Publications, Atlanta, Georgia.

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

# **FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

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<b>Attorney Docket Number</b>	007198-550

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Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	5,070,934		Kucharczyk	12-10-1991
	4,971,137		Thompson	11-20-1990
	6,345,510		Shiuan	02-12-2002
	RE 33,912		Lapkowski	05-05-1992
	4,099,928		Norback	07-11-1978
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	5-106883		JP	04-27-1993		
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	62-293032		JP	12-19-1987		
	59-145431		JP	08-20-1984		

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